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Express Mail No. EL954333108US
Attorney Docket No. HAU199 CON
Client/Matter No. 81250.0040.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David T. Bailey, et al.

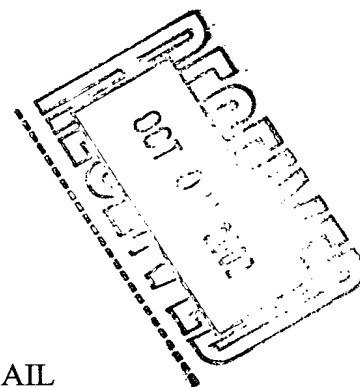
Serial No. 10/001,880

Filed: November 16, 2001

For: HIGH PURITY BETA-CAROTENE AND PROCESS FOR OBTAINING SAME

Group Art Unit: 1764

Examiner:



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Washington, D.C. 20231

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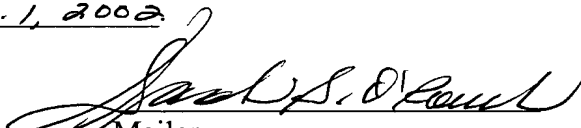
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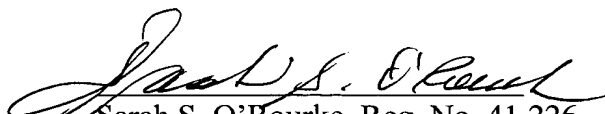
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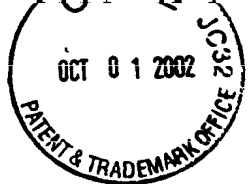
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SAME

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Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97, the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

This Information Disclosure Statement is filed before mailing of a first Office Action in the above case. Accordingly, no fee is believed due. However, any fee associated herewith may be charged to Deposit Account No. 50-1123.

Signed on this 1 day of October, 2002

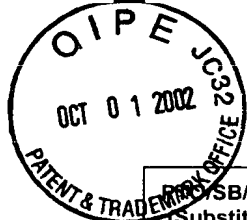
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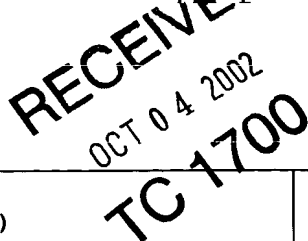
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PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)				ATTY. DOCKET NO. HAU199 CON Client/Matter No. 8250.0040.001		APPLICATION NO. 10/001,880	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet 1 of 3				FIRST NAMED INVENTOR David T. Bailey, et al.			
				FILING DATE November 16, 2001		ART UNIT 1764	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-3,989,757	11/02/1976	Joseph Donald Surmatis			
	2	US-4,115,949	09/26/1978	Avron, et al.			
	3	US-4,199,895	04/29/1980	Avron, et al.			
	4	US-4,439,629	03/27/1984	Ruegg, et al.			
	5	US-4,554,390	11/19/1985	Curtain, et al.			
	6	US-4,680,314	07/14/1987	Nonomura, et al.			
	7	US-4,713,398	12/15/1987	Nonomura, et al.			
	8	US-5,310,554	05/10/1994	Haigh, W. Geoffrey			
	9	US-5,328,845	07/12/1994	Finkelstein, et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Doc cntry code - No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns. Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
	10	WO 93/24454	12/9/1993	Betatene, Ltd.		YES	NO
	11	GB/2218989A	12/15/1988	Institut Penyelidikan Minyak			
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published					
	12	DOERING, LEVENTIS, and ROTH, "Thermal Interconversions among 15- <i>cis</i> -, 13- <i>cis</i> -, and <i>all-trans</i> - β -Carotene: Kinetics, Arrhenius Parameters, Thermochemistry and Potential Relevance to Anticarcinogenicity of <i>all-trans</i> - β -Carotene," <i>J. Am. Chem. soc.</i> , 117:2747-2757 (1995).					
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	14	FABREGAS, FERRON, GAMALLO, VECINO, OTERO, and HERRERO, "Improvement of Growth Rate and Cell Productivity by Aeration Rate in Cultures of the Marine Microalga <i>Dunaliella Tertiolecta</i> ," <i>Bioresource Technology</i> 48:107-111 (1994).					
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EXAMINER SIGNATURE					DATE CONSIDERED		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet 2 of 3		ATTY. DOCKET NO. HAU199 CON Client/Matter No. 81250.0040.001	APPLICATION NO. 10/001,880
		FIRST NAMED INVENTOR David T. Bailey, et al.	
		FILING DATE November 16, 2001	ART UNIT 1764
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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	16	OOI, CHOO, YAP, BASIRON, and ONG, "Recovery of Carotenoids from Palm Oil," <i>JAOCS</i> , 71 (4):423-426- (1994).	
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	20	BEN-AMOTZ, and AVRON, <i>Algal and Cyanobacterial Biotechnology</i> , "The biotechnology of mass culturing <i>Dunaliella</i> for products of commercial interest," Longman Scientific and Technical Press, 91-114 (1989).	
	21	BOROWITZKA, and BOROWITZKA, " β -Carotene (Provitamin A) Production with Algae," <i>Biotechnology of Vitamins, Pigments and Growth Factors</i> 15-16 (1989).	
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	27	Handbook of Microalgal Mass Culture, 230-234.	
	28	BEL-AMOTZ, and AVRON, " Glycerol, β -Carotene and Dry Algal Meal Production by Commercial Cultivation of <i>Dunaliella</i> ", <i>Algae Biomass</i> , G. Shelaf and C.J. Sueder, Editors, North-Holland Biomedical Press, 603-610 (1980).	
	29	SEMENENKO, and ABDULLAEV, "Parametric Control of β -Carotene Biosynthesis in <i>Dunaliella salina</i> cells Under Conditions of Intensive Cultivation," <i>Soviet Plant Physiology</i> , 27:22-30 (1980).	
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	36	"The Determination of Carotene in Green-Leaf Material, Part I. Fresh Grass," <i>Analyst</i> , 75:568-573 (1950).	
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